



SHEET 1 of 6

Form PTO-1449 (Modified)

U.S. Department of Commerce
Patent and Trademark Office

Atty. Docket No.

20004/29A-US

Serial No.

09/490,495

Applicants

Blumenau

Filing Date

January 25, 2000

Group Art Unit

3625

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

NON-PATENT LITERATURE (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|-------------------------------------|------|---|
| <input checked="" type="checkbox"/> | C001 | Transcript of videotaped deposition of Prakash Baskaran, February 15, 2007, (277 pages). |
| <input type="checkbox"/> | C002 | Transcript of videotaped deposition of Prakash Baskaran, February 16, 2007, (122 pages). |
| <input type="checkbox"/> | C003 | Exhibit 5 of Baskaran deposition, HTML page embedding trace applet, April 22, 1996 (8 pages). |
| <input type="checkbox"/> | C004 | Exhibit 6 of Baskaran desposition, resume of Prakash Baskaran, no date, (6 pages). |
| <input type="checkbox"/> | C005 | Exhibit 7 of Baskaran deposition, HTML page embedding trace applet, January 1996, (4 pages). |
| <input type="checkbox"/> | C006 | Exhibit 9 of Baskaran deposition, HTML page embedding trace applet, no date, (3 pages). |
| <input type="checkbox"/> | C007 | Exhibit 10 of Baskaran deposition, log file, dated February 28, 1996, (2 pages). |
| <input type="checkbox"/> | C008 | Exhibit 11 of Baskaran deposition, log file for web server, October 28, 1995, (3 pages). |
| <input type="checkbox"/> | C009 | Exhibit 12 of Baskaran deposition, message posted on Gamelan web server, May 14, 1996, (3 pages). |
| <input type="checkbox"/> | C010 | Exhibit 13 of Baskaran deposition, PERL script of trace.pl program, January 1996, (2 pages). |
| <input type="checkbox"/> | C011 | Exhibit 15 of Baskaran deposition, e-mail archive, November 26, 1996, (52 pages). |
| <input type="checkbox"/> | C012 | Exhibit 16 of Baskaran deposition, stat.pl program, January 1996, (3 pages). |
| <input type="checkbox"/> | C013 | Exhibit 17 of Baskaran deposition, production version of stat.pl, January 1996, (5 pages). |
| <input type="checkbox"/> | C014 | Exhibit 19 of Baskaran deposition, PERL script wrote to collect information about someone interested in the trace applet, March/April 1996, (4 pages). |
| <input type="checkbox"/> | C015 | Exhibit 20 of Baskaran deposition, HTML page embedding trace applet, January 1996, (3 pages). |
| <input type="checkbox"/> | C016 | Exhibit 21 of Baskaran deposition, HTML homepage, January 1996, (3 pages). |
| <input type="checkbox"/> | C017 | Exhibit 22 of Baskaran deposition, HTML page as part of Superlink website, January 1996, (3 pages). |
| <input type="checkbox"/> | C018 | Exhibit 23 of Baskaran deposition, PERL script of trace program for web server on which trace applet was served, February 1997, (2 pages). |
| <input type="checkbox"/> | C019 | Exhibit 24 of Baskaran deposition, shellscript which executes a program called Progman, no date, (2 pages). |
| <input type="checkbox"/> | C020 | Exhibit 25 of Baskaran deposition, PERL script from program developed while working for CMC, November 14, 1995, (3 pages). |
| <input checked="" type="checkbox"/> | C021 | Exhibit 26 of Baskaran deposition, printout of a file listing of a folder identified as AT&T on the cd, date unknown earliest date appearing in the document is August 5, 1994, (85 pages). |

EXAMINER

James Z. Park

DATE CONSIDERED

2/18/00

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Atty. Docket No. 20004/29A-US | Serial No. 09/490,495 |
| INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i> | | Applicants Blumenau | |
| | | Filing Date January 25, 2000 | Group Art Unit 3625 |

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| <input checked="" type="checkbox"/> | C022 | Exhibit 27 of Baskaran desposition, address list, no date (3 pages). |
| <input type="checkbox"/> | C023 | Exhibit 28 of Baskaran deposition, home page for trace applet, 1996, (2 pages). |
| <input type="checkbox"/> | C024 | Exhibit 31 of Baskaran depsoition, table of contents of tape archive, July 14, 1995, (209 pages). |
| <input type="checkbox"/> | C025 | Exhibit 32 of Baskaran deposition, table of contents of att.tar.z file, October 18, 1994, (59 pages). |
| <input type="checkbox"/> | C026 | Exhibit 33 of Baskaran deposition, table of contents of super_other.tar, April 22, 1995, (4 pages). |
| <input type="checkbox"/> | C027 | Exhibit 34 of Baskaran deposition, trace.java source code, January 5, 1996, (4 pages). |
| <input type="checkbox"/> | C028 | Exhibit 35 of Baskaran deposition, trace.pl file content, September 14, 1995, (2 pages). |
| <input type="checkbox"/> | C029 | Exhibit 36 of Baskaran deposition, stat.pl source code, September 14, 1995, (6 pages). |
| <input type="checkbox"/> | C030 | Exhibit 37 of Baskaran deposition, stat.pl source code extracted from /PrakashB/log, April 20, 1995, (3 pages). |
| <input type="checkbox"/> | C031 | Exhibit 38 of Baskaran deposition, stat.pl source code extracted from super_other.tar, October 24, 1995, (6 pages). |
| <input type="checkbox"/> | C032 | Exhibit 39 of Baskaran deposition, trace.log source code, September 14, 1995, (8 pages). |
| <input type="checkbox"/> | C033 | Exhibit 40 of Baskaran deposition, trace.html source code, October 7, 1995, (2 pages). |
| <input type="checkbox"/> | C034 | Exhibit 41 of Baskaran deposition, header.html source code, April 21, 1995, (2 pages). |
| <input type="checkbox"/> | C035 | Exhibit 42 of Baskaran deposition, projects.html source code, December 5, 1995, (2 pages). |
| <input type="checkbox"/> | C036 | Exhibit 43 of Baskaran deposition, applets.html source code, April 21, 1995, (3 pages). |
| <input type="checkbox"/> | C037 | Exhibit 44 of Baskaran deposition, titles.html source code, December 5, 1995, (2 pages). |
| <input type="checkbox"/> | C038 | Exhibit 45 of Baskaran deposition, CGI-bin.html source code, April 21, 1995, (2 pages). |
| <input type="checkbox"/> | C039 | Exhibit 46 of Baskaran deposition, RC_applet.html source code, December 28, 1995, (2 pages). |
| <input type="checkbox"/> | C040 | Exhibit 47 of Baskaran deposition, java.html source code, December 5, 1995, (2 pages). |
| <input type="checkbox"/> | C041 | Exhibit 48 of Baskaran deposition, index.html source code, April 21, 1995, (3 pages). |
| <input type="checkbox"/> | C042 | Exhibit 49 of Baskaran deposition, faq.html source code, April 21, 1995, (2 pages). |
| <input type="checkbox"/> | C043 | Exhibit 50 of Baskaran deposition, movie.html source code, April 21, 1995, (2 pages). |
| <input type="checkbox"/> | C044 | Exhibit 51 of Baskaran deposition, mov2.html source code, April 24, 1995, (2 pages). |
| <input type="checkbox"/> | C045 | Exhibit 52 of Baskaran deposition, trace.pl proram extracted from super_other.tar, October 12, 1995, (2 pages). |
| <input type="checkbox"/> | C046 | Exhibit 54 of Baskaran deposition, resume, April 22, 1995, (4 pages). |
| <input type="checkbox"/> | C047 | Exhibit 55 of Baskaran deposition, gamelan.com website printout, Bates stamped U 0241562 and U-241563, May 14, 1996, (2 pages). |
| <input type="checkbox"/> | C048 | Exhibit 56 of Baskaran deposition, gamelan.com website printout, Bates stamped U0241555 through U0241561, May 1996, (7 pages). |
| <input type="checkbox"/> | C049 | Exhibit 57 of Baskaran deposition, order.pl file extracted from super_other.tar, October 12, 1995, (4 pages). |
| <input checked="" type="checkbox"/> | C050 | Exhibit 58 of Baskaran deposition, collection of directories, September 14, 1995, (51 |

James Smith 2/19/00

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| | | pages). |
| JL | C051 | Listing of Baskaran computer files (copies of individual files are available from applicant on request), printed on November 30, 2007, (99 pages). |
| | C052 | Staten, James "Navigator Tricks Raise Concerns," MacWEEK, March 18, 1996 (2 pages). |
| | C053 | Hayes, Frank, "JavaScript Can Trace User Tracks," ComputerWorld, March 18, 1996 (4 pages). |
| | C054 | Burger, Dale, "JavaScript Escalates Privacy Fears," Computing Canada, March 28, 1996, (2 pages). |
| | C055 | McCarthy, Shawn P., "For Smooth Sailing, Try Out Alternative to Netscape Frames," Government Computer News, October 21, 1996, (2 pages). |
| | C056 | "Netscape in No Rush to Tell Users of JavaScript Security Flaw that Leaves them Users Wide Open to Attack," Computergram, March 15, 1996, (2 pages). |
| | C057 | Sullivan, Eamonn, "Internet Software Problems Drive Home Security Issue," PCWeek, March 11, 1996, (2 pages). |
| | C058 | Taft, Darryl K. and Charlotte Dunlap, "Sun, Netscape Sing Different Tunes on the Net," Computer Reseller News, March 25, 1996, (2 pages). |
| | C059 | Tcpslice(8).-Linux man page retrieved from http://www.die.net/doc/linux/man/man8/tcpslice.8.html on June 12, 2006, (3 pages). |
| | C060 | Brueggeman, Peter "Monitoring CDrom Usage," Cambridge Scientific Abstracts' Aquatic Sciences and Fisheries, no date, (5 pages). |
| | C061 | Gamelan, the Official Director for Java, Tools and Utilities, web pages, retrieved from http://web.archive.org/web/19961026171839/www.gameland.com/pag... on August 16, 2007, (31 pages). |
| | C062 | Gamelan, the Official Director for Java, Network and Communications, web pages, retrieved from http://web.archive.org/web/19961026170151/www.gameland.com/pages... on August 16, 2007, (7 pages). |
| | C063 | Crazy Counter, last modified March 8, 1996, (2 pages). |
| | C064 | CrazyCounter Java applet, 1996, (5 pages). |
| | C065 | Department of Engineering Measurement, Prof. Dr. rer. Nat. Wolf-Jurgen Becker, last modified on September 20, 1996, (1 page). |
| | C066 | Email from Paul Barber dated May 3, 1996, (2 pages). |
| | C067 | Crazy Counter (GIF89a), last modified March 8, 1996, (1 page). |
| | C068 | Google Groups: comp.lang.javascript, dated February 29, 1996, retrieved from http://groups-beta.google.com/group/comp.lang.javascript/browse_thread/thread/4874a9f9c... on June 8, 2005, (4 pages). |
| | C069 | Google Groups: comp.infosystems.www.providers, dated December 7, 1994, retrieved from http://groups-beta.google.com/groups/comp.infosystems.www.providers/browse_thread/thre... on June 8, 2005, (4 pages). |
| V | C070 | Email thread, dated February 9, 1996, (33 pages). |

James Zurek, 2/18/08

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|----|------|---|
| JZ | C071 | Counter, trace applet code, author: java@mt.e-technik.uni-kassel.de, no date, (1 page). |
| J | C072 | Matt's Script Archive: Book 'em Dano: Readme, retrieved from file://P:\ Drive Files\CLIENTS\Nielsen-NetRatings\Settled Cases\Sane Solutions, LLC\... on December 21, 2006, (2 pages). |
| | C073 | Warren, Jim "Audience Tracking System" for Electronic Newspapers, Google Groups, May 3, 1995, (3 pages). |
| | C074 | Index of pub/ftp/utilities/fwgstat, Software Archive, ftp.ist.utl.pt, 1999, (3 pages). |
| | C075 | Fachgebiet MeBtechnik, Univ. -Prof. Dr. rer. Nat. Wolf-Jurgen Becker, Uni Kassel, FB16, Messtechnik homepage, last modified September 20, 1996, author: webmaster@mt.e-technik.uni-kassel.de, (1 page). |
| | C076 | Franks, John "Looking for a GN log," Google Groups Beta, dated October 7, 1993, retrieved from http://groups.google.com/group/comp.infosystems.gopher/browse_thread/thread/15dc16c0284c02d0/953bf38f2cee15ea?q=fwgstat&num=34... on May 24, 2006, (3 pages). |
| | C077 | Javascript Announcement.txt, made December 4, 1995, (8 pages). |
| | C078 | Carvdawg, "Java Project," Google Groups Beta, dated January 18, 19997, retrieved from http://groups.google.com/group/comp.lang.java.programmer/browse_thread/thread/5430a3... on April 12, 2006, (3 pages). |
| | C079 | "Java," javapage, retrieved from http://web.archive.org/web/20040712072256/http://www.groton.k12.ct.us/WWW/fsr/student/Spring02/W... on May 24, 2006, (4 pages). |
| | C080 | IOMON -Protected mode I/O port monitor, program and source code, January 1992, (6 pages). |
| | C081 | Johnson, Aaron "Implementation Guide," HBX On-Demand Web Analytics, WebSideStory, April 26, 2006, (15 pages). |
| | C082 | "Horace's Java Page View Timer Demo," Form 1, last modified August 7, 1999, (2 pages). |
| | C083 | Sclauson, "Hit Counters," Google Groups Beta, dated June 13, 1995, retrieved from http://groups.google.com/group/comp.infosystems.www.authoring.html/browse_thread/thread/dfb1a837f29e165e/a0e6a131c6102d?q=acces... on May 24, 2006, (3 pages). |
| | C084 | Mr. Matt, "Hit Counter," Google Groups Beta, dated March 29, 1995, retrieved from http://groups.goodle.com/group/comp.infosystems.www.users/browse_thread/thread/390dc231bb3f0097/64bddc80086f124b?q+egi+hit+counte... on May 24, 2006, (2 pages). |
| | C085 | "Hello Net" counter, no date, (1 page). |
| | C086 | Ryan Hill, "Netscape 2.0 Javascript Security Concerns," Google Groups Beta, dated March 1, 1996, retrieved from http://groups.google.com/group/comp.sys.mac.misc/browse_thread/thread/f9285c7d4e4354cd/eb94d50669840159?q+javascript+exploit&num... on May 24, 2006, (3 pages). |
| | C087 | Bob Jernigan, "Simple Event Counter," Google Groups Beta, dated April 17, 1996, retrieved from http://groups.google.com/group/comp.databases.oracle/browse_thread/thread/97671e385d1bac94/777a82875e328555?q+javascript+counter+sc... on May 24, 2006, (2 pages). |
| ↓ | C088 | Daniel Nichter, "Can U Make a Hit Counter in a Java Applet," Google Groups Beta, dated |

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| 2 | | July 21, 1996, retrieved from http://groups.google.com/group/comp.lang.java/browse_thread/thread/f2a41d0cb5c8eee4/c8... on May 2, 2006, (6 pages). |
| | C089 | Erik T. Mueller, "InfoTicker Java Applet," Google Groups Beta, dated May 11, 1996, retrieved from http://groups.google.com/group/comp.infosystems.www.announce/browse_thread/threadcc... on May 2, 2006, (2 pages). |
| | C090 | Goldmail, "GoldMail thinks Consumers Should get Paid from Reading Advertising," Google Groups Beta, dated July 7, 1996, (4 pages). |
| | C091 | Goldmail, "GoldMail Revolutionizes Internet Advertising," Google Groups Beta, dated July 7, 1996, (4 pages). |
| | C092 | T. Berners-Lee, "Uniform Resource Locators (Berners-Lee, Masinter).txt, dated December 1994, (23 pages). |
| | C093 | Daniel Jacobs, "WWW hit counter: does this work?," Google Groups Beta, dated December 7, 1994, retrieved from http://groups.google.com/group/comp.infosystems.www.announce/browse_thread/thread/b4ef428d8c96d525/3e18b779b1dad79e?q=cgi+hit+counter&num=74&... on May 24, 2006, (7 pages). |
| | C094 | Thomas Boutell, "WUSAGE 1.0: Server Usage Graphs, Top Ten...," Google Groups Beta, dated January 31, 1994, retrieved from http://groups.google.com/group/comp.infosystems/www/browse_thread/thread/a07834d8b38dbc4f/f05bfldf25d47fd3?q=wusage+1.0&num=1... on May 24, 2006, (2 pages). |
| | C095 | Lamers, Yermo, Webthreads Announcement.txt, October 8, 1996, (7 pages). |
| | C096 | "WebSideStory" dated June 22, 2005, (10 pages). |
| | C097 | "Web Authoring FAQ" retrieved from file://P:\P Drive Files\CLIENTS\Nielsen-NetRatings\Settled Cases\Sane Solutions, LLC\... on December 21, 2006, last updated November 23, 2005, (59 pages). |
| | C098 | Prentiss Riddle, "Single Program to Summarize Logs of Several Kinds of Server?" Google Groups Beta, dated January 6, 1994, retrieved from http://groups.google.com/group/comp.infosystems.gopher/browse_thread/thread/4790232128a9157/d1534978dddf998?q=fwgstat&num=33... on May 24, 2006, (10 pages). |
| | C099 | Risks-Forum Digest "Forum on Risks to the Public in Computers and Related Systems," dated March 4, 1996, Volume 17: Issue 83, retrieved from file://P:\P Drive Files\CLIENTS\Nielsen-NetRatings\Settled Cases\Sane Solutions, LLC\... on Decemebr 21, 2006, (11 pages). |
| | C100 | R. Rieger, "SCO 3.2 UNIX," Google Groups Beta, dated October 28, 1989, retrieved from http://groups.google.com/group.comp.unix/xenix/browse_thread/thread/cb3d1aed21bc0e3e/8d9ce54693af9e98?q=xferstats&num=200... on May 24, 2006, (3 pages). |
| | C101 | Reuters, "Study Faults Online Ads for Kids, FTC Probes," Google Groups Beta, dated March 28, 1996, (3 pages). |
| | C102 | "Applets and Applikationen" Fachgebiet MeBtechnik, author: java@mt.e-technik.uni-kassel.de, last modified August 27, 1996, (5 pages). |
| | C103 | Hughes, Kevin, "ANNOUNCE: Announcing getstats 1.0, a Web log analyzer," Google Groups Beta, dated February 1, 1994, retrieved from http://groups.google.com/group/comp.infosystems.www/browsw_thread/thread/1009159de |

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| J2 | | 1aaf6aa/ed924b219923cc7d?q=getstates&rnum=1&rnum=1&h1... on May 24, 2006, (3 pages). |
| | C104 | Hughes, Kevin, "Announcing getsites 1.5, a Web log analyzer," Google Groups Beta, dated November 3, 1993, retrieved from http://groups.google.com/group/comp.infosystems.www/browse_thread/thread/a36eeb6daea735d8/0fa7adf53e51b894?q=getsites&rnum=19&h... on May 24, 2006, (2 pages). |
| | C105 | Fielding, Roy T., "Announcing wwwstat-0.1 -an access log summary program," Google Groups Beta, dated January 15, 1994, retrieved from http://groups.google.com/group/comp.infosystems.www/browse_thread/thread/784aa9c20470d342/fc0cde0742990875?q=wwwstat&rnum=43... on May 24, 2006, (8 pages). |
| | C106 | "Applet Collection," author: Thomas Wendt, no date, (5 pages). |
| | C107 | "Applets and Applications" Department of Engineering Measurement, Applets at Kassel, author: java@mt.e-technik.uni-kassel.de, last modified August 27, 1996, (5 pages). |
| | C108 | Ivler, J.M. "Advertising Models and associated software," Google Groups Beta, dated May 15, 1996, (5 pages). |
| | C109 | Desjardins, Louis "Activity Monitor 1.1" User's Guide, copyright 1994, (5 pages) |
| | C110 | Carnahan, Jeffrey D. "Access Counters," Google Groups Beta, dated July 3, 1995, retrieved from http://groups.google.com/group/comp.infosystems.www.authoring.html/browse_thread/thread/e515dad2e5d1e8cc/0ebdc329edec00cc?q=hit+c... on May 24, 2006, (7 pages). |
| | C111 | "A Visual History of Web Measurement: Vendor Emergence, Technology Deployment and Seminal Events," assembled from document provided by John Pestana of Omniture, www.websitemeasurement.com , no date, (1 page). |
| | C112 | "NetAcuity: IP Intelligence Whitepaper" Digital Envoy, copyright 2002-2003, (10 pages). |
| V | C113 | Guzdial, et al. "Analyzing and Visualizing Log Files: A Computational Science of Usability," GVU Center College of Computing, Georgia Institute of Technology, no date, (15 pages). |

James Zante 2/19/08